PTO/EB/01A (07-05)
Approved for use through 01/81/2007 OMB 0881-0032
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U.S. Patent and Trademath Chicar, U.S. DEPARTMENT OF COMMERCE
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## DECLARATION (37 CFR 1,63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

4 1 <del>10 / 10 - 10 - 10 - 10 - 10 - 10 - 10 - </del>			
Tide of Invention	Therapeut	ic and carrier molecules	
As the belo	w named inven	ior(s), I/we declate that:	
This declar:	alion is directed	Joi:	
		The attached application, or	
	☑	Application No PCT/AU2006/000088	lied on 28 January 2005
Į.		As amended on	(If applicable);
I/we bellave sought;	that I/we sm/s	re the original and first inventor(s) of the	subject matter which is delimed and for which a patent is
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;			
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to mains to be material to patentability as defined in 37 CPR 1.58, including for continuation in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.			
	, , ,	WARNIN	iG:
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card submitted for payment purposes) is hever required by numbers (other than a check or credit card submitted for payment purposes) is heave required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioner/applicants should consider reducting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1 213(a) is made in the application or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is reterenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card sutherization forms PTO-2036 submitted for payment purposes are not retained in the application file and therefore are not publicly available.			
All statements made hardin of mylown knowledge are true, all statements made harein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are purishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.			
FULL NAM	OF INVENTO		
Inventor on	: Antonio FERF	IANTE	
Signature: _		Juite	Oltizen of: Australia
		RATHJEN	
Addition	inei Inventora di s	legal representative are being named on	Citizen of: Augustie  additional form(s) attached hereto.
Title collection	el el hollamalni la	quired by \$6 U.S.C. 115 and 97 CFR 1.63. The bills on application, Confidentiality is governed by 35 U.S. nation properties, and submitting the combinish size	mobiles is required to obtain or relate a barrell by the public which is to file .C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 bilection form to the USPTO. Then will vary depending upon the individual control of the register of the hunter about to the Chief information.

case. Any communic on the amount of time you require to complete this form und/or suggestions for reducing this burden, should be east to the Chief information. Officer, U.S. Petent and Trademark Office, U.S. Department of Communics, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FRES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need existence in complaints the form, out 1-800-PTO-2199 and select option 3.

## APPLICATION DATA SHEET

Application Information

Application Type: Subject Matter:

Title:

Attorney Docket Number: Total Drawing Sheets: Petition included?: Regular Utility

THERAPEUTIC AND CARRIER MOLECULES

17418 16 No

Inventor Information

Inventor One Authority Type: Primary Citizenship Country:

Status: Given Name: Family Name: Name Suffix:

City of Residence:

State or Province of Residence:

Country of Residence:

Street: City:

State or Province:

Country:

Postal or Zip Code:

Inventor Two Authority Type: Primary Citizenship Country:

Status: Given Name: Middle Name: Family Name: Name Suffix:

City of Residence:

State or Province of Residence:

Country of Residence: Street:

City: State or Province:

Country:

Postal or Zip Code:

Inventor Australia Full Capacity

Antonio
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5064

Inventor
Australia
Full Capacity
Deborah
Ann
Rathjen
Ms.

Flagstaff Hill South Australia Australia

86 Kingfisher Court Flagstaff Hill South Australia Australia

5159

Correspondence Information

Correspondence Customer Number: 00272

Representative Information

Designation::

Registration number::

Primary Primary 31,346 30,749

Primary Primary 33,705

19,827

Name::

Frank S. DiGiglio Paul J. Esatto, Jr.

Edward W. Grolz Leopold Presser

Primary Primary

Primary Primary

Domestic Priority Information

Application::

Continuity Type::

Parent Application:: Parent Filing Date::

60/540,604

01/30/2004

Foreign Priority Information

Application:

Continuity Type::

Parent Application:

Parent Flling Date::

This Application Claims the Benefit

Under 35 USC 119(a)-(d) of

Assignce Information

Assignce Name:

PEPLIN BIOLIPIDS PTY LTD

Street:

Level 2, Brisbane Portal, 1 Breakfast Creek Road

City:

State or Province:

Newstead Queensland

Country:

Austrolia

Postal or Zip Code:

4006

# FTO/SE/DIA (07-08) Approved for use library 0/31/307. OMB 0831-0032 U.S. Palent and Trademork Office; U.S. DEPARTMENT OF COMMERCE Under the Paparent Reduction Act of 1995, no persons are required to respond to a collection of Information unless if displays a void OMB control number. DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN

APPLICATION DATA SHEET (37 CFR 1.76)				
Title of Invention	Therapeutic and carrier molecules			
As the belo	ow named inventor(s), I/we declare that:			
This declar	ration is directed to:	-		
	The etleched application, or			
	Application No. PCT/AD2009/00098 filed on 28 January 2009	-		
	As amended on()f applica	ible);		
I/we believe that I/wa am/are the original and first inventor(a) of the subject matter which is claimed and for which a patent is sought;				
emendmen	reviewed and understand the contents of the above-identified application, including the claims, as amend at specifically referred to above:			
I/we acknowledge the duly to disclose to the United States Patent and Trademerk Office all information known to make to be material to patentability as defined in 37 CFR 1.59, including for continuation-in-part applications, material information which became evaluable between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.  WARNING:  Petitioner/applicant is cautioned to evoid submitting parsonal information in documents filed in a patent application that may				
contribute to kisnitiy their. Personal information such as social security numbers, bank account numbers, or credit card contribute to kisnitiy their. Personal information such as social security numbers, bank account numbers, or credit card subnitions are presented by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioner/applicants should consider redacting such personal information from the documents before submitting than to the USPTO. Petitioner/applicant is advised that the record of a petent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application or issuence of a petent. Purthermore, the record from an abandoned application may also be swellable to the public if the application is referenced in a published application or an issued patient (see 37 CFR 1.14). Checks and credit card suthorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.				
All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful late statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeoperdize the validity of the application or any patent testing thereon.				
FULL NAM	ME OF INVENTOR(B)			
inventor or	ne: Antonio FERRANTE			
Signature:	Cliizen of: Australia			
Inventor Iw	wd: Deborah Ann RATHJEN			
Signalure:				
	lionel inventors or a legal representative are being named onedditional (orm(e) attached to			
This collection (and by the U	m of information is required by 35 U.S.C. 118 and 37 OFR 1.83. The information is required to obtain at reliah a benefit by the public V USPTO to process) an application, Corildonifelly is governed by 35 U.S.C. 122 and 37 OFR 1.11 and 1.14. This collection is eather Implete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very departeding upon	nuca is 19 1119 ilog to také 1 ilsa individual		

minute to complete, including gathering, preparing, and submining the acompleted apprecian norm to the United and vary againshing over an incomplete acomplete in control of the submining and acomplete in a complete acomplete a

## APPLICATION DATA SHEET

Application Information

FROM-dcc

Application Type: Subject Matter:

Regular Utility

Title:

THERAPEUTIC AND CARRIER MOLECULES

Attorney Docket Number: Total Drawing Sheets: Petition included?:

17418 16 No

Inventor Information

Inventor One Authority Type: Primary Citizenship Country:

Status:

Given Name: Family Name: Name Suffix:

City of Residence: State or Province of Residence:

Country of Residence:

Street: City:

State or Province:

Country:

Postal or Zip Code:

Inventor Two Authority Type: Primary Citizenship Country:

Status: Given Name:

Middle Name: Family Name: Name Suffix:

City of Residence:

State or Province of Residence: Country of Residence:

Street: City:

State or Province:

Country: Postal or Zip Code: Inventor

Australia Full Capacity Antonio

Ferrante Mr.

Mount Osmond South Australia

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59 Glen Eagles Road Mount Osmond South Australia

Australia

5064

Inventor Australia Full Capacity Deborah

Ann Rathjen Ms.

Flagstaff Hill South Australia

Australia

86 Kingfisher Court Flagstaff Hill

South Australia Australia

5159

Correspondence Information

Correspondence Customer Number: 00272

Representative Information

FROM-dec

Designation::

Registration number::

Name::

Primary Primary 31,346 30,749

Frank S. Di Giglio Paul J. Esatto, Jr.

Primary

33,705

Edward W. Grolz

Primary

19,827

Leopoid Presser

Primary

Primary

Primary

Primary

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Application:

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Under 35 USC 119(a)-(d) of

Assignce Information

Assignce Name:

PEPLIN BIOLIPIDS PTY LTD

Street:

Level 2, Brisbane Portal, 1 Breakfast Creek Road

City;

State or Province:

Newstead

Country:

Queensland Australia

Postal or Zip Code:

4006

PTQ/SB/81 (01-05)
Approved for use through 12/31/2008, OM6 9651-0035
Transmert Office: U.S. DEPARTMENT OF COMMERCE

Under the Peperwork Reduction Act of 1995, no persons are requi	red to respond to a collection of in(or	Melon unless it displays a valid OMB control number		
	Abbugation isomost			
POWER OF ATTORNEY	Filing Date	12 August 2008		
and	First Named Inventor	Anionio FERRANTE		
	Title	Therapeutic and carrier molecules		
CORRESPONDENCE ADDRESS	Art Unit			
INDICATION FORM	Exeminer Name			
	Attorney Docket Number	17418		
	en le ille chave identifica	onnligation		
I hereby revoke all previous powers of attorney giv	All III IIIS STOAR-IDELITIED	арриосиол.		
I hereby appoint:	00050			
Practitioners associated with the Customer Number.	00272			
OR ·				
Practitionar(s) nemed below:				
Name		Registration Number		
M31116				
-				
as my/our allomey(a) or agent(a) to prosecute the application	denimed above, and to Irensact	all business in the United States Patent and		
Trademark Office connected therewith.				
Please recognize or change the correspondence address for	ine above-identified application (	ot .		
) <del></del> -				
The address associated with the above-mentioned C	Marowal Minosi:			
		1		
The sodress associated with Customer Number:		l l		
OR .	1			
Finn or Individual Name				
Address		·		
City	State	Zip		
Country				
Telaphone	Email	<u> </u>		
i am the:				
Applicant/Inventor.				
Assignee of record of the entire interest, See 37 CF	R 3.71.	<i>(1)        </i>		
Statement under 37 CFR 3.73(b) is enclosed. (Form	PIO/SE/98)			
PEPLIN BIOLIPIDS PTY LTD SIGNATURE of Applicant or Assignee of Record				
Shareture (200)		Date 6 14/14/09		
Name DAVID SMITH YCHERA	CRL HILST	Telephone CHESter Hules		
Tills and Company COMPANY SCRETARY NON EXECUTIVE DEFCTOR				
NOTE: Signatures of all the inventors or assignment of record of the entire interest or first representative(s) are required. Submit multiple forms if more than one algorithms required, see below.				
The state of the same state of the same submitted.				

Total of one forms are submitted.

This collection of Information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.B.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting fine completed application form to the USPTO. Time will vary depending upon the Individual case. Any to complete, including gathering, preparing, and submitting fine complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT BEND FRES OR COMPLETED FORMS TO THIS ADDRESS, SSND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FROM-dcc

PTO/88/98 (09-06) Approved for use through CM31/2007. OMB 9551-0031 U.S. Palent and Trademark Office; U.S. DEPARTMENT DF COMMERCS
Under the Paperwork Reduction Act of 1935, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Palent Owner: Anionio FERRANTE and Deporation Ann RATHJEN
Application No./Patent No.: Filed/Issue Date:
Ehilitiad: Therepeutic and carrier molecules
PERLIN BIOLIBIOS REVIETO . 8 CORPORATION. (Name of Assignes) (Type of Assignes, s.g., corporation, partnership, university, government agency, etc.)
states that it is:  1.   in assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest (The extent (by percentage) of its ownership interest is
in the patent application/palant identified above by virtue of either:
A An assignment from the Inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reet, Frame, or for which a copy thereof is attached.  OR
B. A chain of title from the Inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From:
2. From:
3. From: The document was recorded in the United States Patent and Trademark Office at
Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(a)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USP/IO. See MPEP 302.08]
The undersigned (voices title to supplied below) is authorize the action and the assigner.
DAVID SMITH CHERELL HIRST 6/732501200
Printed or Typed Name Telephone Number
COMPANY SELETARY/NON EXECUTIVE DIRECTOR

Title

This collection of information is required by \$7 CFR 3.73(b). The information is required to obtain or ratein a benefit by the public which is to fits (and by the USPTO to process) an application. Confidentiality is governed by \$8 U.S.C. 122 and \$7 CFR 1.11 and 1.14. This collection is actimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application from to the USPTO. Time will vary appending upon the individual case. Any comments on the amount of time you require to complete this form another suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commiscoe. P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Petents, P.O. Box 1450, Alexandria, VA 22313-1450.

Whereas, i/we



US PATENI Attorney's docket no. 17418 ASSIGNMENT

Whereas, I/We		19 Boutto	2 St. North Adelaide
Antonio FERRAN	TE, an Australian citizen of	5 <del>9 Glen Eegles</del>	n St. North Adelaide Road, Mount Osmond, South Australia
Deborah Ann RAT 5159. Australia	HJEN, an Australian citize	n of 86 Kingfish	er Court, Flagsteff Hill, South Australia
hereinofter referred to as A Improvements in Ther	esignor (collectively if more than or apoutic and carrier molec	ns inventor is listed ob ules	ove), have invented cortain new and useful
the specification of which:			
(a) [ ]		os [ ] App ion No. not yet known	elication No or and was amended on
(e) [x]	was described and claimed in PC filed on 28 Innuary 2005 and as and/or under PCT Article 34 on	amended under PCT	Article 19 on (if any)
AND WHEREAS, PEPLIN BIOLIPII Queensland 4006, A	OS PTY LTD, of Level 2, E ustralia	Brisbane Portal, 1	Breakfast Creek Road, Newstead,
(hereinafter referred to as A the United States of Americ	usignee) desires to require the entire	rights, title, and inter	est in and to the said improvements with respect to
Assignor hereby acknowled and set over, into Assigna America, and its tertiories America and all divisions, thurson and all reisaues and and requests the Commissi	iges that if has sold, assigned, transis, testing, the successoriative and under the postessions in, to and under the much as the confinuations thereof textensions thereof, and all rights of	erred and set over, an es and assigns, the or said improvements, a , and all Patents of ti priority under intern s of America to issue	and sufficiency of which is hereby acknowledged, if by these presents does hereby sell, assign, transfer after right, title, and interest in the United States of any Patent Applications in the United States of the United States of the United States of America which may be granted alloyed Conventions; and Assigner hereby authorizes all Patents for said improvements to Assignee, its timest.
designs, any facts known : divisional, continuing and n	to it respecting said improvements, eissue applications, make all rightful	and testify in any icouths and committy di	to Assignee, its successors, legal representatives and gal proceeding, sign all lawful papers, execute all a verything passible to aid Assignee, its successors, id improvements in the United States of America.
IN TESTIMONY WHERE	OF, Assignor intending to be legally	bound has hereunto at	Fixed its signature
This 6th day of	May 20	Signature of	António FERRANTE
Witness		. · ·	
Thisday of		Signature of	Deborah Ann RATHJEN
Wilnoss			

Attorney's dooket no. 17418



	Að	PICHINIEN I		
Whereas, I/We				
5054, Australia;	TE, an Australian citizen of HJEN, an Australian oitize			
hereinafter referred to us As improvements in There	ssignor (collectively if more than or apeutic and carrier molec	na inventor is listad ab ules	ovs), have invented certain new	and useful
the specification of which:				
(p) [ ]	was siled on oven date herew was filed on [ ] Express Mall No., as Applicat	na [ ] App ion No. nat yet know	olisation Noand	or was amended on
(c) [x]	was described and elaimed in PC filed on 28 January 2005 and a and/or under PCT Article 34 on	amended under PCT	'Article 19 on	(ifany)
AND WHEREAS, PEPLIN BIOLIPID Queensland 4006, At	S PTY LTD, of Level 2, E Istralia	Brîsbane Portal,	Breakfast Creek Road,	Newstead,
(horainafter referred to as Arthe United States of America	ssignee) desires to acquire the entire a, its territories and possessions.	rights, title, and inter	rest in and to the said improveme	mis with respect to
Assignor hereby acknowled, and set over, unto Assigned America, and lis territories. America and ell divisions, alternous and ell reissues and and requests the Commission and requests the Commission.	OR, for good and valuable considers that it has sold, assigned, transfigers that it has sold, assigned, transfigers to be accessore, legal representative and passessions in, to and under transposes and continuations thereof, extensions thereof, and all rights of oner of Potenis of the United State lives and assigns, in accordance with	erred and set ever, are set over, are set over, are set on a set over the control of a priority under internal set of a priority under internal set of a priority and a priority and a priority and a priority a priority and a priority a	nd by these presents does horeby naire right, title, and interest in and any Palent Applications in the United States of America pational Conventions; and Assign and Palents for said incompan	y sell, assign, transfer the United States of the United States of high may be granted non brondy purhed as
ossigns, any facia known ic divisional, continuing and ro	HEREBY covenants and agrees the of respecting said improvements, issue applications, make all rightful igns, to obtain and enforce proper p	and testify in any le	gal proceeding, sign all lawfu to executions possible to aid Ass	l papara, execute all
in testimony whereo	F, Assignor intending to be legally i	ound has hereunte al	Tixed its algunture.	
Thisduy of	, 20			·
	·	Signature of	Antonio FERRANT	E
Witness				
- 90th	Olail	Dito	ral	

· Signature of

Deborah Ann RATHJEN